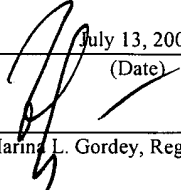


<p align="center"><b>Response to Notification of Defective Response 35 USC § 371.</b></p>	<p>Attorney Docket No.: VANM290.002APC          First Named Inventor: Pays et al.          Int'l Application No.: PCT/BE2003/00131          US Application No.: 10/523,466          Entered National Phase: February 1, 2005          Title: APOLIPOPROTEIN L-I AND/OR DERIVATED          POLYPEPTIDE FOR THE TREATMENT OF AND/OR          THE PREVENTION OF DISEASES INDUCED BY          TRYPANOSOMA</p>
<p><b>Direct all correspondence to Customer No.: 20995</b> <span style="float: right;">Date: July 13, 2006 Page 1 of 2</span></p>	

**Mail Stop PCT**  
 United States Patent and Trademark Office  
 PO Box 1450  
 Alexandria, VA 22313-1450

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as Express mail No. **EV915029005US** in an envelope addressed to: Mail Stop: PCT, United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

  
 July 13, 2006  
 (Date)  
 Marina L. Gordey, Reg. No. 52,950

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **THIRD** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy Notification of Defective Response.
- (X) Second Preliminary Amendment in 3 pages.
- (X) Sequence Submission Statement in 1 page.
- (X) Paper copy of Sequence Listing in 3 pages.
- (X) Sequence Listing in CRF.
- (X) Return prepaid postcard.

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**Response to Notification of  
Defective Response  
35 USC § 371.**

Attorney Docket No.: VANM290.002APC

First Named Inventor: Pays et al.

Int'l Application No.: PCT/BE2003/00131

US Application No.: 10/523,466

Entered National Phase: February 1, 2005

Title: APOLIPOPROTEIN L-I AND/OR DERIVATED  
POLYPEPTIDE FOR THE TREATMENT OF AND/OR  
THE PREVENTION OF DISEASES INDUCED BY  
TRYPANOSOMA

**Direct all correspondence to Customer No.: 20995**

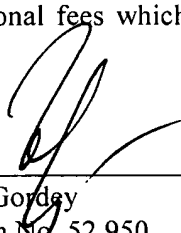
Date: July 13, 2006

Page 2 of 2

**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$0
Excess Claims	29 - 29 = 0	1615 (\$50)	0 x 50 =	\$0
Excess Ind. Claims	4 - 4 = 0	1614 (\$200)	0 x 200 =	\$0
Multiple Claim	37 CFR § 1.492(f)	1616 (\$360)		\$0
			<b>SUB TOTAL</b>	\$0
The present application qualifies for Small Entity status under 37 CFR § 1.27. Fee reduced by 1/2.				(\$0)
Late English Trans	37 CFR § 1.492(i)	1618 (\$130)		\$0
			<b>TOTAL FEE DUE</b>	\$0

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

  
Marina L. Gorday  
Registration No. 52,950  
Agent of Record  
Customer No. 20,995  
(805) 547-5580

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523,466	Etienne Pays	VANM290.002APC

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

INTERNATIONAL APPLICATION NO.	
PCT/BE03/00131	
I.A. FILING DATE	PRIORITY DATE
08/04/2003	08/02/2002

CONFIRMATION NO. 3679  
 371 FORMALITIES LETTER



\*OC000000019237265\*

Date Mailed: 06/14/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 02/01/2005
- Copy of the International Search Report filed on 02/01/2005
- Copy of IPE Report filed on 02/01/2005
- Preliminary Amendments filed on 02/01/2005
- Information Disclosure Statements filed on 10/13/2005
- Oath or Declaration filed on 10/13/2005
- U.S. Basic National Fees filed on 02/01/2005
- Priority Documents filed on 02/01/2005

Applicant's response filed 02/01/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/11/2005 have not been completed.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application.** Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19,

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2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,466	PCT/BE03/00131	VANM290.002APC